Executive compensation is information that publicly traded companies are required to submit in conjunction with their annual 10-K reports to the U. S. Securities and Exchange Commission (SEC). The Compensation section (Part III, Item 11) of the 10-K report, however, refers readers instead to the Proxy Statement (filing type DEF 14A).

**STARTING & SEARCHING LEXISNEXIS ACADEMIC**

- From the library’s homepage (http://www.uhcl.edu/library), mouse over Resources by Subject, and click on Business.
- In the Business research guide, click on the **Company/Industry Research** tab.
- In the list of databases and websites, click **LexisNexis Academic**.
- In the **Companies** search menu, select **SEC Filings**.

In the results, choose the document that is most appropriate, usually based on the date.

**Note:** When searching for previous years’ statements, some years may be unavailable if the selected company recently became publicly traded.

Near the top of the 14A Proxy Statement document you will find compensation-related links. Data will be for recent years only.
STARTING & SEARCHING SEC EDGAR Corporate Reports

On the Business research guide’s Company/Industry Research tab, click on SEC EDGAR Corporate Reports (or go directly to http://www.sec.gov/edgar/searchedgar/webusers.htm, which is free online). Click the choice to search by Company or fund name, ticker symbol… (see below).

Search the Next-Generation EDGAR System

Note: EDGAR Search Changes (see below)

Since 1934, the SEC has required disclosure in forms and documents. In 1984, EDGAR began collecting electronic documents to help investors get information. The SEC’s new system requires data disclosure — the next step to improve how investors find and use information.

You can search information collected by the SEC several ways:

- Company or fund name, ticker symbol, CIK (Central Index Key), file number, state, county, or SIC (Standard Industrial Classification)
- Most recent filings
- Full text (past four years)
- Boolean and advanced searching, including addresses
- Key mutual fund disclosures

APPLE INC CIK#: 0000320193 (see all company filings)

SIC: 3571 - ELECTRONIC COMPUTERS
State Location: CA | State of Inc: CA | Fiscal Year End: 03/30
Formerly: APPLE COMPUTER INC. (filings through 2007-01-04)
Formerly: APPLE COMPUTER INC/CA (filings through 1997-07-25)
(Assistant Director Office No 5)
Get insider transactions for this issuer.

In the company record display, enter filing type DEF 14A or 10-K as needed, and click Search.

Click the Documents button for a desired filing date, followed by the document link. Then use the browser’s “find” feature to locate “executive compensation” or “compensation table.”

For more information, refer to onscreen help, consult a reference librarian (see Ask a Librarian on the library homepage or call 281-283-3910), or view the Business research guide at http://libguides.uhcl.edu/MGMT.